

**DALY, CROWLEY & MOFFORD, LLP****Telephon : 781-401-9988****Facsimile: 781-401-9966****FACSIMILE TRANSMITTAL SHEET**Including this transmittal sheet, document consists of 6 pages.**Date: September 24, 2001****Time: 8:48AM****Deliver To: Examiner Nadav****From: Ann Keel/Richard Sharkansky****Company: PTO****Facsimile Number: 703-308-7722****Telephone Number: 703-308-8138****MESSAGE****FAX COPY RECEIVED****SEP 27 2001**

TECHNOLOGY CENTER 2800

**RE: DOUBLE RECESSED TRANSISTOR**  
**Applicant(s): William E. Hoke et al.**  
**U.S. Application No.: 09/504,660**  
**Filing Date: February 14, 2000**  
**Our Ref No.: RTN2-047PUS (formerly 07206-047001)**

**Examiner Nadav:**

Per your request on Friday, September 21, 2001, attached is a copy of the response to restriction requirement for the above-identified patent application filed on March 15, 2001 with the United States Patent and Trademark Office. If you have any questions, please call me.

**STATEMENT OF CONFIDENTIALITY**

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**Client Matter No.: RTN2-047PUS****Q:\rt2\RTN2-047PUS\rt2-047pus-FAX-PTO forwarding cy of restriction requirement.doc**

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SEP 24 2001

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Appn. No. 09/504,640 Attorney Doctri No. R012-047PUS By: RHS/AME  
 Title: DOUBLE RECESSED TRANSISTOR  
 Application of: WILLIAM F. HOFF ET AL.  
 The Following, DUE 8/21/01 in the U.S. PTO, was received in the Mail Room on the date stamped hereon:

<input type="checkbox"/> Mailing by Express Mail (37 CFR 1.10) <input type="checkbox"/> Express Mail Label No. _____ <input type="checkbox"/> Cert. of Mailing under 37 CFR 1.8(a) <input type="checkbox"/> Application for Parent Incl. _____ (____ pgs.) Specification, (____ pgs.) Abstract (____ pgs.) Claims (Claims _____) <input type="checkbox"/> Declaration Perjury/ Power of Attorney <input type="checkbox"/> Design Patent Application & Declaration of Truth <input type="checkbox"/> Drawings _____ Sheet(s) _____ Formal _____ Informal _____ <input type="checkbox"/> Verified Statement to establish small entity status <input type="checkbox"/> Continuing prosecution app under 37 CFR 1.53(d) <input type="checkbox"/> Revocation of Power of Attorney/Appointment of New Power of Attorney <input checked="" type="checkbox"/> Other <u>Response to Restriction Requirement</u> <input type="checkbox"/> Info. Disc. Statement, PTO Form 1449 and _____ <input type="checkbox"/> Reference(s) Cited _____	<input type="checkbox"/> PCT Request 101 (____ sheets) <input type="checkbox"/> Chapter II Demand <input type="checkbox"/> PCT Fee/Calculation/Authorization Sheet <input type="checkbox"/> Response to Correct Defects <input type="checkbox"/> Check for \$ _____ Check # _____ <input type="checkbox"/> Check for \$ _____ Check # _____ <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Letter to Official Draftsman <input type="checkbox"/> Response to Notice to File Missing Parts <input type="checkbox"/> Notice of Appeal <input type="checkbox"/> Power of Attorney <input type="checkbox"/> Brief (____ x3) <input type="checkbox"/> Petition for Ext. of Time (x2) <input type="checkbox"/> Transmittal Letter <u>Pro/SB/21</u> <input type="checkbox"/> Fee Transmittal Letter <input type="checkbox"/> Assignment <input type="checkbox"/> Maintenance Fee Transmittal
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Stamp: **OLPE**  
**MAR 20 2001**  
**TRADEMARK OFFICE**

Mailing Date 3/15/01

RECEIVED  
3-26-01

Appin. No. 09/504660 Attorney Docket No. 6122-047PUS By: EWS/AME  
Title: DOUBLE RECESSED TRANSITION  
Application of: William F HOKE, P.C.  
\*The Following, DUE 3/2/01 in the U.S. PTO, was received in the Mail Room on the date stamped hereon:

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|---|--|
| <input type="checkbox"/> Mailing by Express Mail (37 CFR 1.10)                                | <input type="checkbox"/> PCT Request 101 (____ sheets)             |
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| <input checked="" type="checkbox"/> Cert. of Mailing under 37 CFR 1.8(a)                      | <input type="checkbox"/> PCT Fee/Calculation/Authorization Sheet   |
| <input type="checkbox"/> Application for Patent incl. ____ pgs.                               | <input type="checkbox"/> Response to Correct Defects               |
| <input type="checkbox"/> (____ pgs.) Specification, (____ pgs.) Abstract,                     | <input type="checkbox"/> Check for \$ ____ Check # ____            |
| <input type="checkbox"/> (____ pgs.) Claims (Claims ____)                                     | <input type="checkbox"/> Check for \$ ____ Check # ____            |
| <input type="checkbox"/> Declaration Petition/ Power of Attorney                              | <input type="checkbox"/> Amendment/Response                        |
| <input type="checkbox"/> Design Patent Application & Declaration & Oath                       | <input type="checkbox"/> Letter to Official Draftsman              |
| <input type="checkbox"/> Drawings ____ Sheet(s) ____ Formal ____ Informal                     | <input type="checkbox"/> Response to Notice to File Missing Parts  |
| <input type="checkbox"/> (Figs. ____)   | <input type="checkbox"/> Notice of Appeal                          |
| <input type="checkbox"/> Verified Statement to establish small entity status                  | <input type="checkbox"/> Power of Attorney                         |
| <input type="checkbox"/> Continuing prosecution app under 37 CFR 1.53(d)                      | <input type="checkbox"/> Brief (____ x3)                           |
| <input type="checkbox"/> Revocation of Power of Attorney/Appointment of New Power of Attorney | <input checked="" type="checkbox"/> Petition for Ext. of Time (x2) |
| <input checked="" type="checkbox"/> Other <u>Response to Restriction Requirement</u>          | <input type="checkbox"/> Transmittal Letter <u>Pgs 58/2</u>        |
| <input type="checkbox"/> Info. Disc. Statement, PTO Form 1449 and ____                        | <input type="checkbox"/> Fee Transmittal Letter                    |
| <input type="checkbox"/> Reference(s) Cited   | <input type="checkbox"/> Assignment                                |
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PTO/SB/21 (09-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<h1>TRANSMITTAL FORM</h1> <p>(to be used for all correspondence after initial filing)</p>	Application Number	09/504,880	
	Filing Date	February 14, 2000	
	First Named Inventor	William E. Hoke	
	Group Art Unit	2811	
	Examiner Name	Orl Nadav	
Total Number of Pages in This Submission	3	Attorney Docket Number	RTN2-047PUS

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Motion, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): Return-receipt postcard Response to Restriction Requirement
Remarks: In the event a petition for extension of time is required by this paper and not otherwise provided, such petition is hereby made and authorization is provided herewith to charge deposit account No. 5000845 for the cost of such extension.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		FAX COPY RECEIVED
Firm or Individual name	Richard M. Sharkansky Reg. No. 25,800 Daly, Crowley & Mofford, LLP	SEP 24 2001
Signature		TECHNOLOGY CENTER 2100
Date	March 15, 2001	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <span style="border: 1px solid black; padding: 2px;">March 15, 2001</span>			
Typed or printed name	Anna Maria Keel	Date	March 15, 2001
Signature			

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